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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/897,920

07/05/2001

Steven J. Simonyi-Gindele

O110 0001

**CONFIRMATION NO. 1795** 

Date Mailed: 08/23/2001

FORMALITIES LETTER

\*OC000000006464056\*

Bruce M. Green c/o Oyen Wiggs Green & Mutala #480 - 601 West Cordova Street Vancouver, BC V6B 1G1 CANADA

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PTO/SB/21 (08-00)

#### Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/897**,**920 イ Application Numb r TRANSMITTAL **Filing Date** 07/05/01 **FORM First Named Inventor** Steven Simonyi-Gindele (to be used for all correspondence after initial filing) **Group Art Unit** 2152 **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 0110 0001 **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** x Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Х **Extension of Time Request** identify below): Terminal Disclaimer **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Bruce M. Green Individual name Signature Date December 21, 2001 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Typed or printed name Signature Date

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

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Complete if Known						
Application Number	09/897,920					
Filing Date	07/05/01					
First Named Inventor	Steven Simonyi-Gindele					
Examiner Name						
Group Art Unit	2152					
Attorney Docket No.	0110 0001					

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)							
Check Credit card Money Other None		3. ADDITIONAL FEES							
Order Order		Large Entity  Small Entity							
X Deposit Account:  Deposit O2-1037		Fee Cod	Fee e (\$)	Fee Cod	Fee	Fee Description	Fee Paid		
Account Number	02-10	3 <i>1</i> ·		105	130	205	e (\$) 65	Surcharge - late filing fee or oath	65.
Deposit Account Name Oyen Wiggs Green & Mutala		127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
The Commissioner is authorized to: (check all that apply)		139	130	139	130	Non-English specification			
Charge fee(s) indicated below Credit any overpayments			147	2,520	147	2,520	For filing a request for ex parte reexamination	ľ	
Charge any additional fee(s) during the pendency of this application		112	920*	112	920*	Requesting publication of SIR prior to			
Charge fee(s) indicated below, except for the filing fee				l		Examiner action			
to the aboveidentified deposit account.  FEE CALCULATION			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
1. BASIC F		, (200 <u>2</u> (110)		115	110	215	55	Extension for reply within first month	200
Large Entity I				116	400	216	200	Extension for reply within second month	200.
Fee Fee	Fee Fee	Fee Description	Fee Paid	117	920	217	460	Extension for reply within third month	
Code (\$) 101 740	Code (\$) 201 370	Utility filing fee	1,00,1,010	118	1,440	218	720	Extension for reply within fourth month	
106 330	206 165	Design filing fee		128	1,960	228	980	Extension for reply within fifth month	
107 510	207 255	Plant filing fee		119	320	219	160	Notice of Appeal	
108 740	208 370	Reissue filing fee		120	320	220	160	Filing a brief in support of an appeal	
114 160	214 80	Provisional filing fee		121	280	221	140	Request for oral hearing	
1			<del></del>	138	1,510	138	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)		140	110	240	55	Petition to revive - unavoidable			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE			141	1,280	241	640	Petition to revive - unintentional		
Fee from Ext <u>ra Claims below</u> Fee Paid			142	1,280	242	640	Utility issue fee (or reissue)		
Total Claims	===	·- = x		143	460	243	230	Design issue fee	
Claims		" = L × <u>L</u>	]=	144	620	244	310	Plant issue fee	
Multiple Deper	ndent	L	]=	122	130	122	130	Petitions to the Commissioner	
l				123	50	123	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Fee Fee	Small Entity Fee Fee	L Fee Description		126	180	126	180	Submission of Information Disclosure Stmt	
Code (\$)	Code (\$)			581	40	581	40	Recording each patent assignment per property (times number of properties)	
103 18	203 9	Claims in excess of 20		446	740		070		
102 84 104 280	202 42 204 140	Independent claims in e		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
104 280 109 84	204 140 209 42	Multiple dependent clai  ** Reissue independen over original patent		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
110 18	210 9	** Reissue claims in ex	cess of 20	179	740	279	370	Request for Continued Examination (RCE)	
''' ''	210 5	and over original pate		169	900	169	900	Request for expedited examination	
SUBTOTAL (2) (\$)		of a design application Other fee (specify)							
SUBTOTAL (2)			(a) 265						
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid *SUBTOTAL (3) (\$) 203.									

SUBMITTED BY Complete (if applicable)					
Name (Print/Type)	Bruce M. Green	Registration No. (Attorney/Agent) 30	524 Telephone	604 669-3432	
Signature	Bur		Date	Dec. 21; 2001	

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